

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	1	of	11	Attorney Docket Number	0013-011P1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	3,287,839	11-29-1966	J. Rotwein et al.	
	AB	4,593,936	06-10-1986	Opel	
	AC	4,614,861	09-30-1986	Pavlov et al.	
	AD	5,104,149	04-14-1992	Hoppe	
	AE	5,355,411	10-11-1994	MacDonald	
	AF	5,473,144	12-05-1995	Mathurin, Jr.	
	AG	5,530,438	06-25-1996	Bickham	
	AH	5,575,508	11-19-1996	Diamond	
	AI	5,903,830	05-11-1999	Joao et al.	
	AJ	5,953,710	09-14-1999	Fleming	
	AK	6,047,270	04-02-2000	Joao et al.	
	AL	6,055,505	04-25-2000	Elston	
	AM	6,233,565 B1,	05-15-2001	Lewis et al.	
	AN	7,096,003 B2	08-22-2006	Joao et al.	
	AO	7,264,154 B2	09-04-2007	Harris	
	AP	7,702,578 B2	04-20-2010	Fung et al.	
	AQ	2001/0047330 A1	11-29-2001	Gephart et al.	
	AR	2002/143570 A1	10-03-2002	Tobita	
	AS	2002/0169720 A1	11-14-2002	Wilson et al.	
	AT	2004/0078325 A1	04-22-2004	O'Connor	
	AU	2004/0133507 A1	07-08-2004	Barbour	
	AV	2004/0148259 A1	07-29-2004	Reiners et al.	
	AW	2005/0029349 A1	02-10-2005	McGregor et al.	
	AX	2005/0242193 A1	11-03-2005	Smith et al.	
	AY	2006/0261152 A1	11-23-2006	Wong	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	2	of	11	Attorney Docket Number	0013-011P1

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known)				
	AZ	WO 2000/033497 A2	06-08-2000	Transactionsecure, L.L.C.		
	BA	WO 00/049586 A1	08-24-2000	Orbis Patents Ltd.		
	BB	WO 2001/061640 A1	08-23-2001	Ong		
	BC	WO 2003/023560 A2	03-20-2003	MasterCard Int'l Inc.		
	BD	CN 1418351 A	05-14-2003	Ong		
	BE	EP 0 745 961 A2	12-04-1996	AT & T IPM Corp.		
	BF	EP 1 006 469 A1	12-02-1998	Koninklijke KPN N.V.		
	BG	JP 08-339407 A	12-24-1996	AT & T IPM Corp.		
	BH	JP 08-339407 A	12-24-1996	AT & T IPM Corp. (Machine Translation)		X
	BI	JP 10-105627 A	04-24-1998	Hitachi Software Eng Co.		
	BJ	JP 10-105627 A	04-24-1998	Hitachi Software Eng Co. (Machine Translation)		X
	BK	JP 11-250193 A	09-17-1999	OKI Electric IND Co Ltd.		
	BL	JP 11-250193 A	09-17-1999	OKI Electric IND Co Ltd. (Machine Translation)		X
	BM	JP 2000-306161 A	11-02-2000	Sony Corp.		
	BN	JP 2000-306161 A	11-02-2000	Sony Corp. (Machine Translation)		X
	BO	JP 2001-283118 A	10-12-2001	IBM		
	BP	JP 2001-283118 A	10-12-2001	IBM (Machine Translation)		X
	BQ	JP 2001-351050 A	12-21-2001	Shigeru		
	BR	JP 2001-351050 A	12-21-2001	Shigeru (Machine Trans.)		X
	BS	JP 2002-298045 A	10-11-2002	Fujitsu Ltd.		
	BT	JP 2002-298045 A	10-11-2002	Fujitsu Ltd. (Mach. Trans.)		X
	BU	JP 2002-324219 A	11-08-2002	Seiko Instruments Inc.		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>		
				Application Number	09/760,271	
				Filing Date	January 12, 2001	
				Applicant	Harris (et al)	
				Art Unit	3682	
Examiner Name	Alvarez, Raquel					
Sheet	3	of	11	Attorney Docket Number	0013-011P1	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code* Number* Kind Code <sup>5</sup> (if known)				
	BV	JP 2002-324219 A	11-08-2002	Seiko Instruments Inc. (English Translation)		X
	BW	KR 2000-0024216 A	01-29-2000	You		
	BX	KR 2000-0037355 A	04-19-2000	Mobilians Com Co, Ltd. (Machine Translation)		X
	BY	KR 10-2004-0072447 A	08-18-2004	Young (Machine Translation)		X

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BZ	Boards of Appeal of the European Patent Office / Datasheet for the Decision of December 13, 2006 regarding: Case No. T 1227/05-3.5.01.	
	CA	<u>Introduction of Credit Card that a User Can Set Up a Use Environment</u> , Pack, Jong Min, Naver News, October 30, 2003.	X
	CB	<u>Certificate Manager v3.0 the most Flexible Approach to digital Certificate and Smart Card Production to Date; Increased Interoperability Provides a cost effective and Manageable Solution for High-volume Production;</u> Author(s) Unknown; Presswire, March 14, 2000 (Dialog Reference, file 9 #0019808924).	
	CC	U.S. Patent Application Serial No. 09/617,361, Specification and claims as filed July 17, 2000.	
	CD	U.S. Patent Application Serial No. 09/617,361, Office Action dated July 7, 2003.	
	CE	U.S. Patent Application Serial No. 09/617,361, Office Action dated March 25, 2004.	
	CF	U.S. Patent Application Serial No. 09/617,361, Advisory Action dated July 29, 2004.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	4	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CG	U.S. Patent Application Serial No. 09/617,361, Office Action dated February 28, 2005.	
	CH	U.S. Patent Application Serial No. 09/617,361, Office Action dated November 16, 2005.	
	CI	U.S. Patent Application Serial No. 09/617,361, Office Action dated August 30, 2006.	
	CJ	U.S. Patent Application Serial No. 09/617,361, Restriction Requirement dated May 1, 2007.	
	CK	U.S. Patent Application Serial No. 09/617,361, Office Action dated July 6, 2007.	
	CL	U.S. Patent Application Serial No. 09/617,361, Office Action dated March 7, 2008.	
	CM	U.S. Patent Application Serial No. 09/617,361, Notice of Appeal with Appeal Brief dated September 5, 2008.	
	CN	U.S. Patent Application Serial No. 09/617,361, Examiner's Answer Brief dated November 25, 2008.	
	CO	U.S. Patent Application Serial No. 09/617,361, Office Communication dated January 12, 2009.	
	CP	U.S. Patent Application Serial No. 09/617,361, Applicant's Reply Brief dated January 26, 2009.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	5	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CQ	U.S. Patent Application Serial No. 09/617,361, Office Communication dated April 1, 2009.	
	CR	U.S. Patent Application Serial No. 09/617,361, Office Communication dated May 4, 2009.	
	CS	U.S. Patent Application Serial No. 09/617,361, Office Action dated September 3, 2009.	
	CT	U.S. Patent Application Serial No. 09/617,361, Examiner's Answer Brief dated October 5, 2009.	
	CU	U.S. Patent Application Serial No. 09/617,361, Office Communication dated March 5, 2010.	
	CV	U.S. Patent Application Serial No. 09/617,361, Appeal Decision dated September 14, 2011.	
	CW	U.S. Patent Application Serial No. 09/617,361, Request for Rehearing dated November 14, 2011.	
	CX	U.S. Patent Application Serial No. 09/617,361, Office Communication dated January 20, 2012.	
	CY	U.S. Patent Application Serial No. 09/617,361, Notice of Allowance dated April 6, 2012.	
	CZ	PCT Application No. PCT/US2001/022313, International Search Report dated October 9, 2001.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	6	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	DA	PCT Application No. PCT/US2001/022313, Written Opinion dated July 24, 2003.	
	DB	PCT Application No. PCT/US2001/022313, International Preliminary Report on Patentability dated December 15, 2003.	
	DC	AU Application No. 2001273490, Office Action dated August 10, 2006.	
	DD	AU Application No. 2001273490, Office Action dated July 19, 2007.	
	DE	AU Application No. 2001273490, Office Action dated May 7, 2008.	
	DF	AU Application No. 2008202099, Office Action dated December 9, 2008.	
	DG	AU Application No. 2008202099, Office Action dated December 10, 2009.	
	DH	CA Application No. 2,415,366, Office Action dated January 11, 2012.	
	DI	CN Application No. 01812985.4, Office Action dated May 28, 2004 (English translation).	X
	DJ	CN Application No. 01812985.4, Notice of Allowance dated January 14, 2005 (English translation).	X

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	7	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	DK	EP Application No. 01 952 770.4-2221, European Search Report dated June 25, 2007.	
	DL	EP Application No. 01 952 770.4-2221, Office Action dated September 13, 2007.	
	DM	EP Application No. 01 952 770.4-2221, Office Action dated April 17, 2008.	
	DN	JP Application No. 2002-514625, Office Action dated May 16, 2011 (English translation).	X
	DO	JP Application No. 2002-514625, Office Action dated November 16, 2011 (Non-English translation).	
	DP	KR Application No. 7017899/2002, Office Action dated August 24, 2007 (English translation).	X
	DQ	KR Application No. 7017899/2002, Notice of Allowance dated May 30, 2008 (English translation).	X
	DR	NZ Application No. 523746, Office Action dated January 29, 2004.	
	DS	NZ App. No. 523746, Notice of Allowance dated October 12, 2004.	
	DT	U.S. Patent Application Serial No. 10/889,227, Restriction Requirement dated September 19, 2006.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	8	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	DU	U.S. Patent Application Serial No. 10/889,227, Office Action dated January 19, 2007.	
	DV	U.S. Patent Application Serial No. 10/889,227, Notice of Allowance dated June 15, 2007.	
	DW	PCT Application No. PCT/US2005/024242, International Search Report and Written Opinion dated February 12, 2007	
	DX	PCT Application No. PCT/US2005/024242, International Preliminary Report on Patentability dated April 12, 2007.	
	DY	AU Application No. 2005271884, Office Action dated April 9, 2010.	
	DZ	AU Application No. 2005271884, Notice of Allowance dated March 9, 2011.	
	EA	CN Application No. 200580030587.X, Office Action dated February 12, 2010 (English translation).	X
	EB	CN Application No. 200580030587.X, Office Action dated July 7, 2011 (English translation).	X
	EC	CN Application No. 201010239623.6, Office Action dated May 17, 2011 (English translation).	X
	ED	CN Application No. 201010239623.6, Office Action dated June 1, 2012 (English translation).	X

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	9	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	EE	EP Application No. 05 769 377.2, European Search Report dated February 16, 2010.	
	EF	EP Application No. 05 769 377.2, Office Action dated July 01, 2010.	
	EG	IL Application No. 180640, Office Action dated January 31, 2010 (English translation).	X
	EH	IN Application No. 379/DELNP/2007, Office Action dated March 25, 2011 (English trans).	X
	EI	JP Application No. 2007-521513, Office Action dated July 6, 2011 (English translation).	X
	EJ	JP Application No. 2007-521513, Office Action dated February 1, 2012 (English translation).	X
	EK	KR Application No. 7002939/2007, Office Action dated October 17, 2011 (English translation).	X
	EL	MX Application No. MX/A/2007/000484, Office Action dated June 3, 2009 (English translation).	X
	EM	MX Application No. MX/A/2007/000484, Office Action dated December 15, 2009 (English translation).	X
	EN	MX Application No. MX/A/2007/000484, Notice of Allowance dated May 24, 2010 (English translation).	X

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	10	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	EO	NZ Application No. 552703, Office Action dated August 11, 2008.	
	EP	NZ Application No. 552703, Office Action dated August 18, 2009.	
	EQ	NZ Application No. 552703, Office Action dated November 19, 2009.	
	ER	NZ Application No. 552703, Notice of Allowance dated March 10, 2010.	
	ES	U.S. Patent Application Serial No. 11/895,837, Office Action dated June 12, 2008.	
	ET	U.S. Patent Application Serial No. 11/895,837, Office Action dated March 9, 2009.	
	EU	U.S. Patent Application Serial No. 11/895,837, Notice of Allowance dated January 11, 2010.	
	EV	U.S. Patent Application Serial No. 11/895,837, Office Communication dated June 9, 2010.	
	EW	U.S. Patent Application Serial No. 12/803,219, Office Action dated August 31, 2010.	
	EX	U.S. Patent Application Serial No. 12/803,219, Office Action dated May 9, 2011.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2*

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Substitute for form 1449/PTO	
				<b>Complete if Known</b>	
				Application Number	09/760,271
				Filing Date	January 12, 2001
				Applicant	Harris (et al)
				Art Unit	3682
				Examiner Name	Alvarez, Raquel
Sheet	11	of	11	Attorney Docket Number	0013-011P1

[illegible]

Examiner Signature	/Raquel Alvarez/	Date Considered	09/04/2012
-----------------------	------------------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need help completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.A./